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Pipeline architecture: The assembly line of modern processors

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Abstract

Pipeline architecture fundamentally transforms processor design by enabling concurrent instruction processing across multiple stages, revolutionizing computing performance. This architectural paradigm breaks the sequential nature of instruction execution into discrete steps that operate simultaneously, analogous to manufacturing assembly lines. From early implementations in systems like the IBM Stretch to modern superscalar designs, pipeline architecture has evolved from simple five-stage models to sophisticated multi-stage implementations incorporating advanced techniques like branch prediction, out-of-order execution, register renaming, and speculative execution. These innovations address inherent challenges such as structural, data, and control hazards that can compromise theoretical performance gains. The evolution of pipelining demonstrates a careful balancing of trade-offs between pipeline depth, clock frequency, latency, and throughput, with different architectural approaches optimized for specific application domains. Pipeline architecture continues to serve as the foundation of modern processor design, enabling remarkable performance improvements that have driven technological advancement across computing applications.

Keywords: Pipeline Architecture; Instruction Throughput; Branch Prediction; Superscalar Processors; Speculative Execution

1. Introduction

In the world of digital hardware design, few techniques have been as transformative as pipeline architecture. This fundamental approach to processor design has enabled the remarkable performance gains we've seen in computing over the past several decades. Modern processors utilizing pipeline architecture have demonstrated theoretical throughput improvements approaching their pipeline depth—in ideal conditions, a five-stage pipeline could process instructions nearly five times faster than a non-pipelined design operating at the same clock frequency, though practical implementations typically achieve speedups of $2.5 \times$ to $4 \times$ due to various pipeline hazards and stalls, as detailed in Patterson and Hennessy's seminal work on computer organization [1]. The implementation of pipelining in commercial microprocessors began in earnest during the 1980s, with the MIPS R2000 processor serving as a watershed moment in pipeline design. The R2000 featured a classic five-stage pipeline (instruction fetch, decode, execute, memory access, and write-back) that became the architectural template upon which countless subsequent processor generations were based, establishing what Shen and Lipasti describe as the "canonical pipeline" in superscalar processor development [2].

The evolution of pipeline architecture has been instrumental in the exponential performance growth of computing systems over multiple decades. Intel's processor family evolution illustrates this progression dramatically—from the partially pipelined 80486 processor with its relatively simple 5-stage implementation to the deeply pipelined Pentium 4 architecture featuring up to 20 pipeline stages operating at frequencies exceeding 3 GHz. This architectural transformation contributed to performance improvements of approximately two orders of magnitude while managing the increasing complexity of instruction-level parallelism (ILP). As Patterson and Hennessy note, these performance

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gains came with significant design challenges, including branch prediction penalties that increased from 2-3 cycles in early pipelined designs to 10-20 cycles in deeply pipelined architectures, necessitating sophisticated branch prediction algorithms achieving accuracy rates above 90% [1]. These advancements have enabled computing applications that would be impossible without pipelined execution, from complex 3D rendering requiring billions of floating-point operations per second to sophisticated data analytics processing terabytes of information. The CPI (Cycles Per Instruction) metric, a key performance indicator, improved from approximately 2.0 in early non-pipelined designs to theoretical minimums approaching 0.2 in advanced superscalar implementations with multiple parallel pipelines, though memory latency and dependencies typically limit real-world performance to CPIs between 0.5 and 1.0, as documented in Shen and Lipasti's comprehensive analysis of modern processor architectures [2].

2. What is Pipeline Architecture?

Pipeline architecture represents a revolutionary paradigm in processor design that fundamentally alters instruction processing methodology. Rather than completing each instruction sequentially before initiating the next, pipelining segments instruction execution into multiple discrete stages that operate concurrently, dramatically improving throughput efficiency. This architectural approach has enabled processor performance to scale dramatically across generations, with quantitative analysis demonstrating that a well-designed five-stage pipeline can achieve significant throughput improvements in ideal conditions, though practical implementations typically achieve more modest improvements due to data dependencies and structural hazards that necessitate occasional pipeline stalls [3]. The conceptual foundation of pipelining draws direct inspiration from industrial manufacturing principles, where assembly line processes transformed production efficiency. Early implementations of pipeline concepts can be traced to systems developed in the early 1960s, though fully realized instruction pipelines became prominent in the late 1970s and early 1980s with the seminal RISC architectures that followed, establishing design patterns that would influence virtually all subsequent high-performance processors [3].

The classic five-stage pipeline implementation that became standard in many RISC processors consists of the following stages, each handling a distinct portion of instruction processing:

2.1. Instruction Fetch (IF)

This initial stage retrieves the next instruction from memory, typically from the instruction cache. The program counter (PC) determines which instruction to fetch, and this stage often incorporates prefetching and branch prediction to maintain a steady flow of instructions into the pipeline.

2.2. Instruction Decode (ID)

In this stage, the processor decodes the fetched instruction to determine what operation to perform and identifies source operands. Register values are read from the register file, and control signals are generated for subsequent stages.

2.3. Execute (EX)

During the execute stage, the actual computation or operation specified by the instruction is performed. Arithmetic operations, logical operations, address calculations for load/store instructions, and condition evaluations for branch instructions all occur in this stage.

2.4. Memory Access (MEM)

This stage performs any required load and store memory operations. Memory access represents a potential bottleneck due to the latency gap between processor and memory speeds, leading to the implementation of sophisticated cache hierarchies and prefetching mechanisms to hide this latency.

2.5. Write Back (WB)

The final stage writes the results of the instruction's operation back to the register file, making them available for subsequent instructions.

In traditional non-pipelined processor designs, prevalent through the early microprocessor era, the processor would complete the entire instruction cycle for one instruction before beginning the next, resulting in significant hardware resource underutilization. These sequential processors would perform discrete steps in strict succession, with functional units remaining idle during most clock cycles. As quantified in detailed timing analyses, such non-pipelined designs typically achieved CPI (Cycles Per Instruction) values that were relatively high for common instruction mixes,

with average instruction completion times directly proportional to clock frequency [3]. This sequential approach proved increasingly inadequate as semiconductor technology advanced, allowing more transistors to be integrated on a single chip, and as applications demanded greater computational throughput for emerging workloads in personal computing, database processing, and scientific applications.

3. How Pipelining Transforms Processing

The transformation of processor architecture through pipelining creates a fundamental shift in execution efficiency by enabling concurrent instruction processing across multiple pipeline stages. Furber's analysis of pipelined architectures, developed through his pioneering work on ARM processors, demonstrates how this approach enables instruction throughput to approach one instruction per clock cycle in ideal circumstances, representing a theoretical maximum improvement equal to the number of pipeline stages [4]. The ARM architecture exemplifies the elegant application of pipeline principles, with early implementations achieving remarkable efficiency despite modest transistor budgets. For example, the ARM7TDMI core, widely deployed in embedded systems throughout the 1990s and early 2000s, implemented a three-stage pipeline (fetch, decode, execute) that achieved performance of approximately 0.97 MIPS/MHz while consuming only 0.28 mW/MHz, establishing benchmarks for energy efficiency that influenced subsequent processor designs across multiple market segments [4].

The canonical five-stage pipeline has proven remarkably durable as a conceptual framework, though modern implementations have evolved significantly in complexity. The instruction fetch stage in contemporary designs incorporates branch prediction mechanisms that have evolved from simple schemes with 65-70% accuracy to sophisticated multi-level predictors achieving accuracy rates exceeding 95% for many code patterns. The instruction decode stage has expanded to incorporate register renaming capabilities that effectively manage data hazards that would otherwise stall the pipeline. As documented in Hennessy and Patterson's comprehensive analysis, the evolution of pipeline complexity is evident in the progression from early RISC designs with 5 pipeline stages operating at 20-33 MHz to contemporary superscalar implementations featuring 14-20 stages operating at frequencies exceeding 5 GHz, though this increased depth creates challenges with branch misprediction penalties that can exceed 20 cycles in deeply pipelined designs [3]. The memory access stage has perhaps undergone the most significant transformation, evolving from simple direct memory access to elaborate interactions with multi-level cache hierarchies featuring sophisticated coherence protocols.

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Processor Architecture	Pipeline Stages	Clock Frequency (MHz)	Branch Prediction Accuracy (%)	Relative Throughput
IBM 801 (Early RISC)	2	15	65	2.1
MIPS R2000	5	15	75	3.2
ARM7TDMI	3	66	80	3.7
Intel 80486 DX2-66	5	66	82	4.3
Pentium MMX-200	5	200	85	8.7
Pentium 4	20	3000	95	32.5
Modern processors	10-20	5000 and above	97	42.8

4. Performance Benefits of Pipelining

The primary advantage of pipelining is its remarkable ability to enhance processor throughput, creating a multiplicative effect on computational efficiency. In an ideal scenario, a processor with a five-stage pipeline can theoretically approach five times the instruction throughput of an equivalent non-pipelined design operating at the same clock frequency. Another benefit of pipelining is better clock cycle utilization. Non-pipelined processors may have idle cycles between operations, whereas pipelined designs keep all stages active, maximizing the utilization of the processor's clock cycles. Johnson's foundational work on processor design demonstrates that the implementation of increasingly sophisticated pipeline techniques, including out-of-order execution, register renaming and branch prediction enable significant performance scaling across processor generations, enabling architectural efficiency improvements that complement the raw speed gains from semiconductor process advances [5].

A critical distinction in understanding pipeline performance is the difference between instruction latency and throughput. Pipelining does not reduce the latency of individual instructions—the time from when an instruction enters the pipeline until its result is available remains essentially unchanged and is primarily determined by the processor's clock frequency. In fact, as Johnson's detailed timing analyses reveal, pipelining can marginally increase single-instruction latency by 3-7% due to additional pipeline registers and control logic necessary to manage instruction flow between stages [5]. However, the dramatic increase in instruction throughput more than compensates for this slight latency penalty. Kanter's exhaustive analysis of Intel's Nehalem architecture, which implemented a 20-25 stage pipeline, demonstrated that this deeply pipelined design could sustain execution rates approaching 4 instructions per cycle that represented a significant advancement over previous generations [6]. Real-world benchmarks confirmed these throughput advantages translated directly to application performance, with the Nehalem architecture demonstrating performance improvements of 1.6x for integer operations and 2.4x for floating point operations over its predecessor while operating at comparable clock frequencies, primarily due to more efficient pipeline utilization. For memory-intensive workloads, the performance advantage was even more pronounced, with gains of 40-60% resulting from Nehalem's sophisticated pipeline integration with the memory hierarchy, including dedicated pipeline stages for L1 cache access that reduced effective memory latency by 8-12 cycles compared to previous designs [6].

Table 2 Evolution of Pipeline Architecture: Performance Metrics from 8086 to Core i9. [1, 6]

Processor	Year	Pipeline Stages	Clock Frequency	Instruction Width	Technology Node	Cores / Threads	Key Performance Features
Intel 8086	1978	2 (Fetch, Execute)	5-10 MHz	16-bit	3 μm	1/1	6-byte prefetch queue, no true pipelining
Intel 80486	1989	5	20-100 MHz	32-bit	1 μm	1/1	First x86 with true pipelining, integrated FPU
Pentium (P5)	1993	5 (U & V pipelines)	60-300 MHz	32-bit (Superscalar)	0.8 μm	1/1	Dual integer pipelines (U and V), basic branch prediction
Pentium Pro (P6)	1995	14	150-200 MHz	32-bit	0.6 μm	1/1	Out-of-order speculative execution, performance optimization for integer and floating-point operations
Pentium 4 (NetBurst)	2000	20 (Willamette), 31 (Prescott)	1.3-3.8 GHz	32-bit	180-90 nm	1 / 2 (with HT)	Hyper-Threading, deep pipeline, high clock speeds
Core i7 (Nehalem)	2008	~20	2.66-3.33 GHz	64-bit	45 nm	4/8	Integrated memory controller, Hyper-Threading, Turbo Boost
Core i9 (Alder Lake)	2021	19 (P-core), 10 (E-core)	Up to 5.2 GHz	Hybrid 64-bit	Intel 7 (~10 nm)	16 (8P + 8E) / 24	Hybrid architecture (Performance and Efficiency cores), Thread Director

5. Challenges in Pipeline Design

Effective pipeline design involves navigating a complex landscape of architectural trade-offs that significantly impact processor performance and efficiency. While the theoretical benefits of pipelining are substantial, practical implementations must overcome numerous challenges that can degrade real-world performance. According to comprehensive studies, even with multiple pipelines, performance scaling is non-linear—adding execution resources yields diminishing returns due to the inherent limitations imposed by instruction dependencies and control flow uncertainties. These pipeline disruptions account for significant performance losses, requiring sophisticated hardware mechanisms for hazard detection and mitigation, which can constitute a substantial portion of control logic in modern processor designs [7]. The complexity of these challenges increases with pipeline depth, creating fundamental architectural tensions that processor designers must carefully balance against raw performance potential.

5.1. Pipeline Hazards

Pipeline hazards represent the primary obstacles to achieving theoretical pipeline efficiency, manifesting in three distinct categories that each present unique design challenges. Structural hazards occur when multiple instructions compete for the same hardware resource simultaneously, creating resource conflicts that necessitate pipeline stalls. Research reveals that structural hazards tend to be the least common in well-designed processors, though this can increase substantially in designs with limited execution resources or when executing instruction mixes with atypical resource requirements [7]. Data hazards, particularly Read-After-Write (RAW) dependencies, represent the most common pipeline disruption, occurring in a significant portion of dynamic instruction streams in typical applications. Advanced processors implement sophisticated register renaming and out-of-order execution specifically to mitigate data hazards, enabling sustained execution rates despite the presence of frequent data dependencies [8]. Control hazards, stemming from branch instructions which constitute a substantial portion of instructions in general-purpose applications, create significant challenges by introducing uncertainty in the instruction stream. Modern designs employ branch target buffers to improve prediction accuracy, yet each misprediction still results in pipeline flushes requiring multiple cycles to refill the pipeline—a substantial penalty that directly impacts performance [8].

5.2. Pipeline Stalls and Bubbles

When pipeline hazards occur, processors must implement mitigation strategies that inevitably compromise throughput. Pipeline stalls, which pause instruction execution in affected stages while allowing preceding stages to complete, represent a direct performance penalty. Analysis of various superscalar implementations reveals that pipeline stalls due to data hazards occur frequently across typical benchmark suites, with particularly high stall rates observed in floating-point intensive applications where long-latency operations frequently create dependency chains [7]. Pipeline bubbles—empty slots propagated through the pipeline when hazards cannot be immediately resolved—represent another throughput-reducing phenomenon. Modern designs implement non-blocking caches and out-of-order execution specifically to reduce pipeline stalls and bubbles caused by cache misses, allowing the processor to continue executing independent instructions during the latency periods typically associated with memory hierarchy accesses [8]. Advanced processor implementations require extensive architectural verification through simulation to ensure correctness of complex hazard handling mechanisms, highlighting the engineering challenge posed by these pipeline disruptions.

5.3. Balancing Pipeline Stages

Achieving optimal pipeline efficiency requires careful balancing of computational work across pipeline stages to prevent bottlenecks that limit overall throughput. However, the inherent complexity variation across instruction types makes perfect balance nearly impossible. Advanced designs address stage balancing challenges through variable execution latencies matched to instruction complexity—simple integer operations complete quickly, while more complex operations require additional cycles, enabling efficient handling of diverse instruction types without forcing all operations to conform to the latency of the most complex [9].

A prominent example of the complex balancing considerations in modern processors is the implementation of dedicated drive stages in deeply pipelined architectures. These specialized stages serve no computational purpose but are dedicated solely to signal propagation across the chip [9]. Without isolating these signal propagation periods into their own separate stages, all pipeline stages would require lengthening to accommodate delays in just a few portions of the pipeline, significantly reducing frequency potential.

Modern designs employ careful logic partitioning during the design phase to minimize stage imbalances. Research emphasizes that stage balancing remains one of the most challenging aspects of pipeline design, often requiring iterative

refinement through multiple design cycles to achieve satisfactory results, with most commercial implementations accepting some degree of imbalance as an inevitable trade-off against other design constraints [9].

5.4. The Optimal Pipeline Depth Question

A fundamental challenge in pipeline design involves determining the optimal number of pipeline stages for a given architecture. This optimum represents a careful balance between conflicting factors—as pipeline depth increases, performance initially improves due to higher clock frequencies, but eventually degrades as pipeline overhead and branch misprediction penalties become dominant. This relationship creates a characteristic inverted U-shaped curve when plotting performance against pipeline depth. The optimal point shifts with advances in semiconductor technology, architectural innovations in branch prediction, and changes in typical application characteristics. Moreover, different application domains may benefit from different pipeline depths, creating additional complexity for general-purpose processor design [10]. Energy efficiency considerations further complicate this optimization, as deeper pipelines typically consume more power due to increased switching activity and additional pipeline registers. The search for optimal pipeline depth thus represents a multi-dimensional optimization problem that continues to challenge processor architects.

6. Advanced Pipelining Techniques

Modern processors employ a sophisticated arsenal of techniques to maximize pipeline efficiency, addressing the fundamental challenges that limit theoretical performance. Branch prediction stands as one of the most crucial innovations in contemporary processor design, as branches typically constitute a significant portion of executed instructions in general-purpose applications. The impact of branch mispredictions grows more severe as pipeline depths increase, creating strong motivation for increasingly accurate prediction mechanisms. While early designs relied on simple static prediction strategies, modern architectures implement complex dynamic predictors that continuously adapt based on execution history. These prediction mechanisms have evolved to become remarkably sophisticated components of processor design, capable of identifying complex branch patterns that significantly improve instruction flow through the pipeline.

Speculative execution is another technique that pushes pipeline efficiency even further by executing instructions before knowing with certainty whether they will be needed, particularly across control flow boundaries. This technique keeps pipeline stages filled and productive, effectively utilizing execution resources that would otherwise remain idle. Michael's work on a speculative execution technique called boosting demonstrated that minimal hardware support can lead to about 1.5x performance improvements in small-issue, superscalar processors [11]. By executing multiple potential paths simultaneously and later discarding incorrect paths, speculative execution maintains high throughput despite complex control flow, though at the cost of increased energy consumption—a trade-off that becomes increasingly important in data center environments where power efficiency directly impacts operational costs. While speculative execution helps improve performance, it has also become a critical area of focus in security due to its vulnerability to attacks like Spectre, which exploit speculative execution to leak sensitive data.

Out-of-order execution represents another pivotal advance that fundamentally transforms pipeline utilization by decoupling the fetch/decode sequence from execution ordering. This technique enables processors to dynamically navigate around stalls by executing independent instructions while waiting for long-latency operations to complete. Foundational research introduced techniques for managing out-of-order execution through "lockup-free" cache designs that allowed processors to continue executing instructions during cache misses rather than stalling the entire pipeline [12]. Analysis demonstrated that even with the limited microarchitectural resources available in early implementations, this approach could improve throughput on programs with frequent memory accesses by allowing execution to continue around miss latencies. Register renaming, often implemented in conjunction with out-of-order execution, eliminates false dependencies by providing separate physical registers for each instruction that writes to the same architectural register. This technique transforms the sequential constraints imposed by register reuse in the programming model into a more parallel execution model that better exploits available hardware resources.

The evolution of pipeline architecture has advanced along two complementary dimensions—Superscalar designs that implement multiple parallel pipelines, and Superpipeline approaches that subdivide traditional pipeline stages into finer-grained steps. Superscalar processors fundamentally increase throughput by fetching, decoding, and executing multiple instructions per cycle through parallel execution resources. Technical documentation emphasizes the importance of superscalar design in meeting the computational demands of data-intensive applications, where parallel execution paths can significantly accelerate workloads. Modern server processors deployed in these environments

typically implement multiple-way superscalar designs that can theoretically issue multiple instructions per cycle, though practical throughput is constrained by data dependencies inherent in application code.

Superpipeline designs take a different approach, breaking down the classic 5-stage pipeline into finer sub-stages to enable higher clock frequencies, effectively trading latency for throughput. Superpipelined machines often exhibit better performance than superscalar machines at a lower cost due to two key factors: the lack of instruction-class conflicts and the reduced need for hardware resource duplication. Contemporary processor designs typically balance superscalar width and pipeline depth based on target workloads, with datacenter server processors often optimized for sustained throughput rather than maximum theoretical peak performance.

Table 3 Pipeline Techniques Performance Comparison. [1, 2]

Technique	Theoretical Performance Improvement (%)	Hardware Complexity	Implementation Example	Target Application Performance
Branch Prediction (Simple)	15-25	Low-Medium	1-bit predictor	1.2-1.3×
Branch Prediction (Advanced)	30-50	High	Multi-level tournament	1.4-1.6×
Out-of-Order Execution (Limited)	30-50	Medium	4-8 entry scoreboard	1.3-1.5×
Out-of-Order Execution (Advanced)	45-60	Very High	100+ entry reorder buffer	1.5-1.8×
Register Renaming	20-30	Medium-High	2× physical registers	1.2-1.4×
Speculative Execution (Limited)	15-25	Medium	4-8 speculative instructions	1.2-1.3×
Speculative Execution (Advanced)	25-40	High	50+ speculative instructions	1.3-1.5×
Superscalar (2- way)	40-80	Medium	Dual-pipeline implementation	1.6-1.8×
Superscalar (4- way)	60-120	Very High	Quad-issue core (e.g., Zen, Apple, M1)	2.4-2.9×
Superpipeline (10-stage)	30-60	Medium-High	Moderate depth implementation (e.g., Pentium Pro)	1.5-1.7×
Superpipeline (20-stage)	30-70	Very High	Netburst-style deep pipeline	1.7-2.1×

Multithreading represents a significant evolution in pipeline architecture that addresses the fundamental limitation of single-threaded designs: their vulnerability to pipeline stalls caused by long-latency operations. By maintaining multiple thread contexts simultaneously, multithreaded processors can switch execution between threads, keeping pipeline stages productive and improving overall throughput [13]. Temporal multithreading (TMT) implements thread switching at specific intervals or upon stall conditions, while simultaneous multithreading (SMT) allows multiple threads to issue instructions within a single cycle, sharing execution resources more dynamically. Research has demonstrated that SMT implementations can improve pipeline utilization by as much as 30% with minimal additional hardware complexity [13]. Modern implementations often combine multithreading with superscalar execution, effectively addressing both instruction-level and thread-level parallelism simultaneously. The pipeline implications of multithreading extend beyond simple resource utilization. These architectures require sophisticated thread arbitration mechanisms to determine which threads can issue instructions in each cycle, typically implementing priority-based or round-robin scheduling algorithms with fairness guarantees. Cache hierarchies in multithreaded designs must balance

the competing demands of multiple instruction streams, sometimes implementing thread-aware replacement policies that prevent aggressive threads from monopolizing shared resources [13].

Heterogeneous computing represents a paradigm shift in pipeline architecture that acknowledges the inherent limitations of homogeneous designs when confronted with diverse computational workloads. Rather than implementing identical pipelines throughout the processor, heterogeneous architectures integrate specialized execution units or entirely different core types, each optimized for specific computational patterns [14]. This approach enables exceptional efficiency by matching pipeline characteristics to workload requirements, with high-performance pipelines handling latency-sensitive tasks while power-efficient pipelines process throughput-oriented background work. Research indicates that well-designed heterogeneous systems can achieve performance-per-watt improvements of 30-40% compared to homogeneous alternatives [14]. Some implementations extend heterogeneity to the cache hierarchy, with different core types implementing customized cache configurations optimized for their expected workloads [14]. The memory interfaces between heterogeneous components present particular challenges, requiring coherence protocols that can efficiently bridge disparate pipeline architectures while maintaining a consistent programming model. As computing continues to emphasize energy efficiency alongside raw performance, heterogeneous pipeline designs have become increasingly prevalent, demonstrating the ongoing evolution of pipeline architecture to address the fundamental constraints of power, performance, and area that govern modern processor design.

7. Conclusion

Pipeline architecture stands as a cornerstone innovation in processor design, delivering substantial performance improvements through concurrent instruction processing without proportional increases in transistor count or power consumption. By segmenting instruction execution into parallel stages, pipelining enables processors to approach theoretical throughput improvements equal to their pipeline depth, though practical implementations must carefully address hazards through sophisticated techniques like branch prediction, out-of-order execution, and register renaming. The historic evolution from basic five-stage designs to modern superscalar and deeply pipelined architectures illustrates the enduring value of this fundamental technique. As computing continues to advance, pipeline architecture remains essential, providing the foundation upon which other innovations like multi-core architectures and specialized accelerators are built, ensuring its lasting significance in the ongoing development of computational technology.

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