



Sonocrystallization: Advancing CMP slurry technology for next-generation semiconductor manufacturing

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Abstract

Sonocrystallization represents a transformative advancement in Chemical Mechanical Planarization (CMP) slurry technology for semiconductor manufacturing. This innovative technique harnesses ultrasonic energy to control crystal nucleation and growth during slurry particle formation, enabling unprecedented precision in particle size distribution and morphology. The process addresses critical challenges in advanced node processing through enhanced control over particle characteristics, resulting in improved planarization performance and reduced surface defects. Sonocrystallization-based slurries demonstrate superior stability, consistency, and performance metrics across various semiconductor materials and structures. The technology's implementation has yielded significant improvements in within-wafer uniformity, removal rate consistency, and overall manufacturing efficiency while reducing environmental impact through decreased waste generation and improved resource utilization.

Keywords: Sonocrystallization; Chemical Mechanical Planarization; Semiconductor Manufacturing; Ultrasonic Processing; Slurry Technology

1. Introduction

Chemical Mechanical Planarization (CMP) has emerged as a critical enabler in modern semiconductor manufacturing, particularly as the industry advances toward more complex device architectures. The planarization demands have intensified significantly, with surface roughness specifications becoming increasingly stringent for advanced logic devices. The progression toward smaller technology nodes has necessitated unprecedented precision in material removal and surface finishing processes. According to recent studies in ECS Transactions, the CMP process for advanced nodes requires controlling material removal rates within $\pm 5\%$ across 300mm wafers while maintaining a post-CMP surface roughness of less than 1nm RMS [1].

The evolution of semiconductor architectures, particularly in copper interconnect technologies, has transformed CMP from a simple planarization step into a sophisticated process requiring precise control over multiple parameters. Current copper CMP processes must achieve dishing control of less than 300Å for wide metal features while maintaining erosion below 200Å for dense patterns [2]. This level of precision becomes particularly crucial as the industry moves toward advanced packaging technologies and heterogeneous integration, where surface planarity directly impacts subsequent process steps and overall device performance.

Sonocrystallization represents a significant advancement in addressing these stringent requirements through controlled slurry particle synthesis. The technique employs ultrasonic energy to regulate crystal nucleation and growth during particle formation, resulting in superior control over particle characteristics. Contemporary CMP processes for advanced nodes require slurry particles with strict size distributions and minimal agglomeration tendencies. The

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implementation of sonocrystallization has demonstrated remarkable improvements in achieving consistent material removal rates of 4000-6000 Å/min for copper while maintaining post-CMP surface roughness values below 1nm [1].

The significance of this advancement becomes particularly apparent in modern fabrication facilities utilizing 300mm wafers, where process uniformity and repeatability are paramount. Recent developments in copper CMP have shown that sonocrystallization-enhanced slurries can achieve step height reduction of greater than 95% while maintaining a removal selectivity of 120:1 between copper and barrier materials [2]. These improvements directly contribute to higher yield rates and reduced defectivity in advanced semiconductor manufacturing processes.

Table 1 CMP Process Requirements for Advanced Nodes [1,2]

Parameter	Specification/Achievement
Material Removal Rate Control	Within $\pm 5\%$ across 300mm wafers
Post-CMP Surface Roughness	< 1nm RMS
Copper Removal Rate	4000-6000 Å/min
Copper Dishing Control	< 300Å for wide features
Pattern Erosion	< 200Å for dense patterns
Step Height Reduction	> 95%
Cu Selectivity	120:01:00

2. The Evolution of CMP Slurry Requirements

The advancement of semiconductor technology has dramatically transformed the requirements for Chemical Mechanical Planarization (CMP) slurries, particularly in addressing the demands of advanced semiconductor nodes. Traditional slurry formulations that served earlier technology generations now face unprecedented challenges in meeting the exacting specifications required for modern semiconductor manufacturing processes.

Particle size distribution control has emerged as a critical factor in achieving consistent material removal rates across wafer surfaces. According to recent studies in the ECS Transactions, conventional slurries demonstrate significant challenges in maintaining uniform particle distributions, with removal rate variations typically ranging from 2000 to 6000 Å/min across 300mm wafers. These variations become particularly significant when dealing with pattern densities varying from 1% to 50% across the die, where local pressure variations can lead to non-uniform material removal [3]. The research indicates that achieving consistent planarization requires precisely controlled particle size distributions, with optimal performance observed when using slurries with mean particle sizes between 30 and 50 nanometers.

The challenge of particle agglomeration has become increasingly critical in advanced node processing, where even minor surface defects can significantly impact device performance. Studies published in MRS Bulletin have shown that traditional slurry formulations often struggle to maintain stability during extended polishing processes, leading to the formation of aggregates that can cause microscratches. The research demonstrates that maintaining slurry pH between 9 and 11 is crucial for achieving optimal dispersion stability, with zeta potential measurements indicating that values more negative than -30 mV are necessary for preventing particle agglomeration [4]. These parameters become particularly crucial when dealing with copper CMP processes, where removal selectivity between copper and barrier materials must typically exceed 100:1.

The control of particle morphology has taken on new significance with the adoption of complex 3D architectures in semiconductor devices. Recent findings indicate that achieving the required surface roughness specifications of less than 1.0 nm RMS necessitates unprecedented control over particle shape and size consistency. The research published in ECS Transactions demonstrates that optimized slurry formulations can achieve material removal rates of 4000 Å/min while maintaining within-wafer non-uniformity below 5% [3]. This level of precision becomes particularly crucial when dealing with the integration of new materials such as low-k dielectrics, where mechanical properties vary significantly from traditional silicon dioxide.

Maintaining slurry stability throughout the CMP process presents unique challenges, particularly given the extended polishing times required for advanced node processing. Research has shown that successful planarization requires maintaining consistent chemical and mechanical properties throughout the polishing process, with removal rate decay typically less than 10% over a 24-hour period. Studies indicate that achieving this stability requires careful control of both particle surface chemistry and solution ionic strength, with optimal results achieved when the ionic concentration is maintained between 0.01 and 0.1 M [4].

2.1. Sonocrystallization: Principles and Mechanisms

Sonocrystallization represents an advanced approach to controlling particle formation through the application of ultrasonic energy during the crystallization process. This technique has emerged as a powerful tool for achieving precise control over particle characteristics in CMP slurry preparation. The process operates effectively within the ultrasonic frequency range of 20-100 kHz, with research demonstrating that these frequencies create optimal conditions for controlled crystallization through multiple synergistic mechanisms [5].

2.2. Acoustic Cavitation Phenomena

The cornerstone of sonocrystallization lies in acoustic cavitation, where ultrasonic waves propagating through the liquid medium generate alternating compression and rarefaction cycles. This process creates microscopic bubbles that undergo rapid growth and collapse, producing localized regions of extreme conditions. Studies have shown that the application of ultrasonic energy at frequencies between 20-40 kHz can reduce induction time by up to 75% compared to conventional crystallization methods. The cavitation events create microsecond-duration hot spots where temperatures can briefly reach several thousand Kelvin, though the bulk solution temperature typically remains within controlled ranges of 20-30°C [5].

2.3. Controlled Nucleation Mechanisms

The energy released during cavitation bubble collapse generates uniform supersaturation conditions throughout the solution volume, fundamentally altering the nucleation landscape. Recent research has demonstrated that sonocrystallization can effectively initiate nucleation at relative supersaturation ratios 20-30% lower than those required in conventional crystallization processes. This improved efficiency in nucleation control leads to more uniform particle formation, with studies showing that ultrasonic irradiation can reduce the metastable zone width by up to 40% compared to silent conditions [6].

2.4. Growth Modification and Crystal Engineering

The influence of ultrasonic waves on crystal growth patterns manifests through several interconnected mechanisms that collectively determine final particle characteristics. The mechanical effects of sonication have been shown to reduce the mean particle size by 30-50% compared to conventional crystallization methods, while simultaneously narrowing the particle size distribution. Research indicates that continuous sonication at moderate power levels can maintain stable dispersions by preventing secondary nucleation and agglomeration, with studies showing improved stability over periods exceeding 24 hours [6].

The modification of crystal growth patterns through ultrasonic treatment represents a crucial aspect of the sonocrystallization process. The application of ultrasonic energy has been demonstrated to influence crystal morphology by promoting uniform growth conditions across all crystal faces. Studies have shown that pulsed ultrasonic treatment, typically employing on/off cycles of 0.5-2.0 seconds, can achieve optimal control over crystal habit development while minimizing particle agglomeration [5].

Table 2 Impact on Crystal Formation Properties [5,6]

Mechanism	Effect on Crystal Formation
Acoustic Cavitation	Generation of controlled nucleation sites
Controlled Nucleation	Uniform particle size distribution
Growth Modification	Enhanced crystal shape control
Mass Transfer Enhancement	Improved crystal quality uniformity

Mass transfer enhancement at the crystal-solution interface plays a vital role in determining final particle characteristics. The acoustic streaming effects generated by ultrasonic waves create microscale mixing conditions that significantly improve mass transfer rates. Research has demonstrated that this enhanced mass transfer can lead to more uniform crystal growth, with studies showing improvements in crystal quality and size uniformity of up to 45% compared to conventional stirring methods [6].

3. Applications in CMP Slurry Manufacturing

The implementation of sonocrystallization in CMP slurry manufacturing has transformed the production of high-performance polishing materials essential for advanced semiconductor processes. This technology has enabled unprecedented control over critical particle characteristics while meeting the demanding requirements of modern semiconductor manufacturing.

3.1. Advanced Particle Size Control

The application of sonocrystallization technology in CMP slurry manufacturing has demonstrated significant capabilities in particle size control. Research has shown that optimized ultrasonic processing can produce abrasive particles with mean diameters controlled between 50-100 nm for oxide CMP applications. Studies published in the International Journal of Science and Research have documented that these improved size distributions lead to enhanced material removal rates, typically achieving 3000-4500 Å/min while maintaining within-wafer non-uniformity below 8% [7]. The precise control over particle size distribution has proven particularly beneficial in advanced node processing, where consistent material removal rates are crucial for achieving target planarization specifications.

3.2. Morphology Optimization and Surface Engineering

Sonocrystallization techniques have enabled significant advances in particle morphology control and surface characteristics optimization. Recent studies in the International Journal of Precision Engineering and Manufacturing-Green Technology have demonstrated that ultrasonic-assisted synthesis can achieve sphericity values exceeding 0.95 for silica particles, representing a marked improvement over conventional synthesis method. The enhanced shape control has been correlated with improved removal rate stability, showing variations of less than 10% over standard processing times. Furthermore, surface modification processes conducted under ultrasonic conditions have achieved zeta potential values consistently maintaining stability between -25 mV and -35 mV [8].

The optimization of particle surface characteristics through sonocrystallization has yielded substantial improvements in slurry performance metrics. Research has shown that modified particles exhibit enhanced colloidal stability, with a documented shelf life of up to 90 days while maintaining removal rate variations within $\pm 10\%$ of initial values. These improvements stem from the uniform surface modification achieved under sonication conditions, particularly beneficial for advanced oxide and metal CMP processes [7].

3.3. Specialized Formulation Development

The versatility of sonocrystallization has proven particularly valuable in developing specialized slurry formulations for advanced semiconductor materials and structures. Studies have shown that sonically-engineered slurries can achieve removal rates of 2500-3500 Å/min for silicon dioxide while maintaining selectivity ratios suitable for advanced node requirements. The technology has demonstrated particular success in oxide CMP applications, where particle engineering through sonocrystallization has enabled the achievement of post-CMP surface roughness values below 0.5 nm RMS [8].

Contemporary research has focused on developing formulations optimized for different material combinations in advanced semiconductor processing. Experimental results have shown that sonocrystallization-based slurries can maintain stable dispersion characteristics over extended periods, with pH stability maintained within ± 0.2 units over typical process durations. This stability has proven crucial for achieving consistent performance in advanced planarization processes [7].

3.4. Process Integration and Implementation

The successful integration of sonocrystallization technology into CMP slurry production requires sophisticated equipment configurations and precise control over multiple process parameters. The development of advanced manufacturing systems has led to significant improvements in process control and product consistency, enabling the production of high-quality slurries for semiconductor processing.

3.5. Equipment Configuration

Contemporary sonocrystallization systems employ ultrasonic generators operating primarily in the frequency range of 20-40 kHz, with research showing optimal results achieved at specific power intensities between 30-50 W/cm². Studies published in Chemical Engineering Science have demonstrated that continuous-flow sonoreactors equipped with multiple transducers can achieve uniform cavitation fields throughout the reaction volume, with residence times carefully controlled between 10 and 30 minutes. Temperature control systems maintain process conditions within the critical range of 25-35°C, with variations not exceeding $\pm 0.5^\circ\text{C}$ throughout the crystallization process [9].

The integration of process monitoring systems has become essential for maintaining product quality. Research has shown that real-time monitoring of acoustic spectra can effectively track cavitation intensity, with measurement frequencies of up to 100 Hz providing detailed insights into process dynamics. Modern systems incorporate feedback control loops that can adjust ultrasonic power output within response times of less than 1 second, enabling precise maintenance of cavitation conditions throughout the crystallization process [10].

3.6. Process Control Parameters

The optimization of ultrasonic parameters plays a crucial role in achieving desired particle characteristics. Experimental studies have demonstrated that effective crystallization control requires careful management of acoustic cavitation intensity, with optimal results achieved at calorimetric power inputs ranging from 20 to 40 W/L. Research published in Ultrasonics Sonochemistry has shown that pulse mode operation, with on/off ratios between 0.1 and 0.5, can significantly improve particle size distribution control while reducing energy consumption by up to 40% compared to continuous operation [10].

Temperature profiles during crystallization must be precisely controlled to achieve consistent results. Studies have shown that maintaining temperature gradients below 2°C/cm throughout the reaction volume is essential for uniform nucleation and growth. The cooling rates during crystallization typically range from 0.5 to 2°C/min, with research indicating that slower cooling rates within this range tend to produce more uniform particle size distributions [9].

Table 3 Process Integration Requirements for CMP Slurry Production [9,10]

Parameter	Operating Range/Value
Ultrasonic Frequency Range (kHz)	20-40
Power Intensity (W/cm ²)	30-50
Residence Time (minutes)	10-30
Process Temperature Range (°C)	25-35
Temperature Variation (°C)	± 0.5
Maximum Acoustic Monitoring Frequency (Hz)	Up to 100
Control System Response Time (seconds)	Less than 1
Calorimetric Power Input (W/L)	20-40
Pulse Mode On/Off Ratio	0.1-0.5
Energy Consumption Reduction (%)	Up to 40
Maximum Temperature Gradient (°C/cm)	Below 2
Cooling Rate (°C/min)	0.5-2.0
Conductivity Variation (%)	Within ± 2
Control Loop Response Time (seconds)	Below 5

Process integration strategies have evolved to incorporate sophisticated control algorithms that manage multiple process parameters simultaneously. Studies have demonstrated that maintaining stable supersaturation levels requires continuous monitoring of solution conductivity, with variations kept within $\pm 2\%$ of target values. The implementation

of model-predictive control systems has enabled real-time adjustment of process parameters based on measured crystal growth rates, with control loop response times typically maintained below 5 seconds [10].

4. Performance Benefits and Industrial Impact

The implementation of sonocrystallization technology in CMP slurry manufacturing has demonstrated substantial improvements in both technical performance and economic efficiency. Comprehensive studies have documented significant advances in process control and end-product quality, leading to measurable impacts on semiconductor manufacturing outcomes.

4.1. Quality Improvements Through Advanced Process Control

The adoption of sonocrystallization-based slurry production has yielded remarkable improvements in CMP process performance metrics. Research published in IEEE Transactions on Semiconductor Manufacturing has demonstrated that these advanced slurries can achieve material removal rates exceeding 4000 Å/min while maintaining within-wafer non-uniformity (WIWNU) below 10%. The enhanced process control enabled by sonocrystallization has been shown to reduce defectivity levels by up to 30% compared to conventional methods, particularly in applications involving copper and low-k dielectric materials [11].

Process stability improvements have been particularly notable, with studies showing that sonocrystallization-based slurries maintain consistent performance characteristics throughout extended processing windows. Analysis of production data has revealed that variation in removal rates can be maintained within $\pm 8\%$ across multiple production lots, representing a significant improvement over traditional preparation method. The implementation of ultrasonic processing has been demonstrated to enhance particle stability, with zeta potential measurements remaining stable at approximately -30 mV over standard processing durations [12].

4.2. Manufacturing Efficiency and Cost Impact

The economic benefits of sonocrystallization technology have been thoroughly documented through industrial implementation studies. Research has shown that the improved efficiency of sonically-engineered slurries can lead to reductions in material consumption of up to 25% compared to conventional formulations. This improvement is attributed to the enhanced stability and uniformity of particle size distributions achieved through ultrasonic processing, as documented in studies published in Chemical Engineering Progress [12].

Table 4 Quality and Performance Improvements through Sonocrystallization [11,12]

Category	Improvement Area	Impact
Process Quality	Material Removal Rate	Enhanced consistency in planarization
	Within-Wafer Uniformity	Improved surface evenness
	Defect Control	Reduced surface imperfections
	Particle Characteristics	Enhanced dispersion stability
	Performance Consistency	Improved lot-to-lot uniformity
Economic Impact	Resource Utilization	Reduced material consumption
	Storage and Handling	Extended shelf-life stability
	Waste Management	Decreased treatment requirements
Manufacturing	Process Control	Better predictability in planarization

Long-term stability assessments have demonstrated significant improvements in slurry shelf life and storage stability. Studies have shown that sonocrystallization-processed slurries can maintain their performance characteristics for periods exceeding 45 days under standard storage conditions, representing a substantial improvement over conventional formulation. The enhanced stability contributes to reduced waste generation and lower storage costs, with some facilities reporting reductions in waste treatment volumes of up to 20% [11].

The implementation of sonocrystallization processes has shown particular benefits in advanced node manufacturing, where precise control over particle characteristics is crucial. Research has demonstrated that the improved consistency in particle size and morphology can lead to more predictable planarization performance, with some facilities reporting reductions in rework requirements of up to 15%. These improvements translate directly to cost savings through reduced material consumption and improved throughput in high-volume manufacturing environments [12].

5. Conclusion

Sonocrystallization has emerged as a pivotal technology in advancing CMP slurry manufacturing for next-generation semiconductor devices. The technology's ability to precisely control particle formation and characteristics has revolutionized planarization processes, enabling the stringent requirements of advanced nodes to be met with improved consistency and reliability. The integration of sonocrystallization in CMP slurry production has demonstrated substantial benefits in terms of process control, product quality, and economic efficiency. These advantages, coupled with environmental benefits through reduced waste generation and improved resource utilization, position sonocrystallization as a key enabler for future semiconductor manufacturing technologies. The continued development and implementation of this technology will be instrumental in supporting the semiconductor industry's progression toward more complex device architectures and smaller technology nodes.

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